



## Lecture 2: Fundamental Groupoid



## Path connected components



## Definition

Let  $X \in \underline{\mathbf{Top}}$ .

- ▶ A map  $\gamma : I \rightarrow X$  is called a **path** from  $\gamma(0)$  to  $\gamma(1)$ .
- ▶ We denote  $\gamma^{-1}$  be the path from  $\gamma(1)$  to  $\gamma(0)$  defined by  $\gamma^{-1}(t) = \gamma(1 - t)$
- ▶ We denote  $i_{x_0} : I \rightarrow X$  be the constant map to  $x_0 \in X$ .

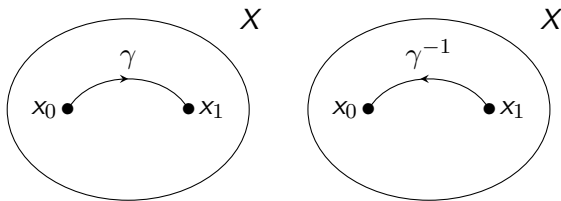


图: A path  $\gamma$  in a topological space  $X$  and its inverse



Let us introduce an equivalence relation on  $X$  by

$$x_0 \sim x_1 \iff \exists \text{ a path from } x_0 \text{ to } x_1.$$

We denote the quotient space

$$\pi_0(X) = X / \sim$$

which is the set of **path connected components** of  $X$ .

### Theorem

$\pi_0: \underline{\mathbf{hTop}} \rightarrow \underline{\mathbf{Set}}$  defines a covariant functor.

### Corollary

If  $X, Y$  are homotopy equivalent, then  $\pi_0(X) \simeq \pi_0(Y)$ .



## Path category / fundamental groupoid



## Definition

Let  $\gamma : I \rightarrow X$  be a path. We define the **path class** of  $\gamma$  by

$$[\gamma] = \{\tilde{\gamma} : I \rightarrow X \mid \gamma \simeq \tilde{\gamma} \text{ rel } \partial I = \{0, 1\}\}.$$

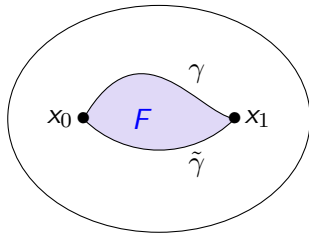


图: In a path class,  $F: \gamma \simeq \tilde{\gamma} \text{ rel } \partial I$

$[\gamma]$  is the class of all paths that can be continuously deformed to  $\gamma$  while fixing the endpoints.



## Definition

Let  $\gamma_1, \gamma_2 : I \rightarrow X$  such that  $\gamma_1(1) = \gamma_2(0)$ . We define the **composite path**

$$\gamma_2 \star \gamma_1 : I \rightarrow X$$

by

$$\gamma_2 \star \gamma_1(t) = \begin{cases} \gamma_1(2t) & 0 \leq t \leq 1/2 \\ \gamma_2(2t-1) & 1/2 \leq t \leq 1, \end{cases}$$

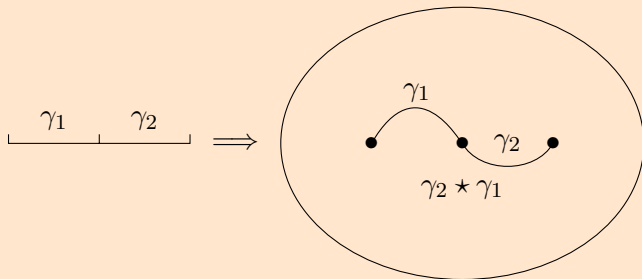


图: Composition of paths



## Proposition

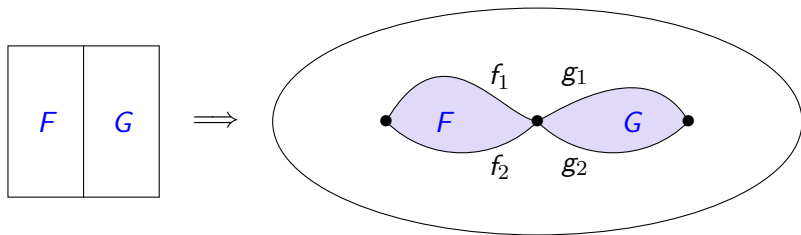
Let  $f_1, f_2, g_1, g_2$  be paths, such that  $f_i(1) = g_i(0)$ ,  $[f_1] = [f_2]$ ,  $[g_1] = [g_2]$ . Then

$$[g_1 \star f_1] = [g_2 \star f_2].$$

Therefore  $\star$  is **well-defined for path classes**.

**Proof.**

We illustrate the proof as follows







## Proposition (Associativity)

Let  $f, g, h: I \rightarrow X$  with  $f(1) = g(0)$  and  $g(1) = h(0)$ . Then

$$([h] \star [g]) \star [f] = [h] \star ([g] \star [f]).$$

**Proof.**

We illustrate the proof as follows

$$\begin{array}{c}
 [h] \star ([g] \star [f]) = \begin{array}{c} f \quad g \quad h \\ \boxed{\text{Diagram}} \end{array} \xrightarrow{F} X \\
 ([h] \star [g]) \star [f] = \begin{array}{c} \boxed{\text{Diagram}} \\ f \quad g \quad h \end{array}
 \end{array}$$

The diagram for  $[h] \star ([g] \star [f])$  is a square with two vertical lines. The top edge is divided into three segments labeled  $f$ ,  $g$ , and  $h$  from left to right. The bottom edge is a single segment. The diagram for  $([h] \star [g]) \star [f]$  is a square with two vertical lines. The top edge is a single segment, and the bottom edge is divided into three segments labeled  $f$ ,  $g$ , and  $h$  from left to right.



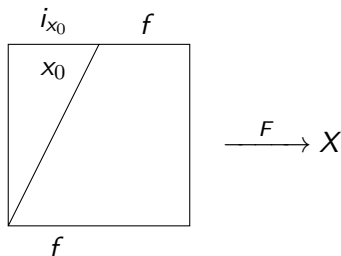
## Proposition

Let  $f: I \rightarrow X$  with endpoints  $f(0) = x_0$  and  $f(1) = x_1$ . Then

$$[f] \star [i_{x_0}] = [f] = [i_{x_1}] \star [f].$$

Proof.

We only show the first equality, which follows from the figure





## Definition

Let  $X \in \underline{\mathbf{Top}}$ . We define a category  $\Pi_1(X)$  as follows:

- ▶  $\text{Obj}(\Pi_1(X)) = X$ .
- ▶  $\text{Hom}_{\Pi_1(X)}(x_0, x_1) = \text{path classes from } x_0 \text{ to } x_1$ .
- ▶  $1_{x_0} = i_{x_0}$ .

The propositions above imply  $\Pi_1(X)$  is a well-defined category.  $\Pi_1(X)$  is called the **path category** or **fundamental groupoid** of  $X$ .



# Groupoid



## Definition

A category where all morphisms are isomorphisms is called a **groupoid**. All groupoids form a category **Groupoid**.

## Example

A group  $G$  can be regarded as a groupoid  $\underline{G}$  with

- ▶  $\text{Obj}(\underline{G}) = \{\star\}$  consists of a single object.
- ▶  $\text{Hom}_{\underline{G}}(\star, \star) = G$  and composition is group multiplication.

Thus we have a fully faithful functor **Group**  $\rightarrow$  **Groupoid**.



## Theorem

Let  $\gamma: I \rightarrow X$  with endpoints  $\gamma(0) = x_0$  and  $\gamma(1) = x_1$ . Then

$$[\gamma] \star [\gamma^{-1}] = [1_{x_1}], \quad \text{and} \quad [\gamma^{-1}] \star [\gamma] = [1_{x_0}].$$

Therefore  $\Pi_1(X)$  is a groupoid.

## Proof.

Let  $\gamma_u: I \rightarrow X$  such that  $\gamma_u(t) = \gamma(tu)$ . The following figure gives the homotopy  $\gamma^{-1} \star \gamma \simeq 1_{x_0}$ :

$$\begin{array}{c}
 \begin{array}{cc}
 & \gamma & \gamma^{-1} \\
 u & \begin{array}{|c|c|} \hline \gamma_u & \gamma_u^{-1} \\ \hline \end{array} \\
 & \gamma_0 = i_{x_0} & 
 \end{array}
 \xrightarrow{F} X
 \end{array}$$



## Definition

Let  $\mathcal{C}$  be a groupoid. Let  $A \in \text{Obj}(\mathcal{C})$ , we define its **automorphism group** by

$$\text{Aut}_{\mathcal{C}}(A) := \text{Hom}_{\mathcal{C}}(A, A).$$

Note that this indeed forms a group.

$$\text{Aut}_{\mathcal{C}}(A) = \left\{ \hookrightarrow A \right\}$$



For any  $f: A \rightarrow B$ , it induces a group isomorphism

$$\begin{aligned} \text{Ad}_f: \text{Aut}_{\mathcal{C}}(A) &\rightarrow \text{Aut}_{\mathcal{C}}(B) \\ g &\rightarrow f \circ g \circ f^{-1}. \end{aligned}$$

Here is a figure to illustrate

$$\text{Ad}_f: \text{maps } g \hookrightarrow A \quad \text{to} \quad g \hookrightarrow A \begin{matrix} \xrightarrow{f} \\ \xleftarrow{f^{-1}} \end{matrix} B$$





This naturally defines a functor

$$\mathcal{C} \rightarrow \underline{\text{Group}} \quad \text{by assigning} \quad A \mapsto \text{Aut}_{\mathcal{C}}(A), \quad f \mapsto \text{Ad}_f.$$

Specialize this to topological spaces, we find a functor

$$\boxed{\Pi_1(X) \rightarrow \underline{\text{Group}}}.$$

## Definition

Let  $x_0 \in X$ , the group

$$\pi_1(X, x_0) := \text{Aut}_{\Pi_1(X)}(x_0)$$

is called the fundamental group of the pointed space  $(X, x_0)$ .



## Theorem

Let  $X$  be path connected. Then for  $x_0, x_1 \in X$ , we have a group isomorphism

$$\pi_1(X, x_0) \simeq \pi_1(X, x_1).$$

### Proof.

Consider the functor  $\Pi_1(X) \rightarrow \text{Group}$  described above. Since  $X$  is path connected and  $\Pi_1(X)$  is a groupoid, any two points  $x_0$  and  $x_1$  are isomorphic in  $\Pi_1(X)$ . Since functors preserve isomorphism, we conclude  $\pi_1(X, x_0) \simeq \pi_1(X, x_1)$ .  $\square$

In the path connected case, we will simply denote by  $\pi_1(X)$  the **fundamental group** without mentioning the reference point.



Let  $f: X \rightarrow Y$  be a continuous map. It defines a functor

$$\Pi_1(f) : \Pi_1(X) \rightarrow \Pi_1(Y) \quad \text{by assigning} \quad x \mapsto f(x), \quad [\gamma] \mapsto [f \circ \gamma].$$

## Proposition

$\Pi_1$  defines a functor

$$\Pi_1 : \underline{\mathbf{Top}} \rightarrow \underline{\mathbf{Groupoid}},$$

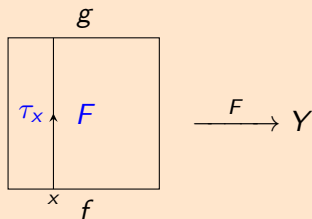
that sends  $X$  to  $\Pi_1(X)$ .



## Proposition

Let  $f, g: X \rightarrow Y$  be maps which are homotopic by  $F: X \times I \rightarrow Y$ .  
Let us define path classes

$$\tau_x = [F|_{x \times I}] \in \text{Hom}_{\Pi_1(Y)}(f(x), g(x)),$$



Then  $\tau$  defines a **natural transformation**

$$\tau_F: \Pi_1(f) \Longrightarrow \Pi_1(g).$$



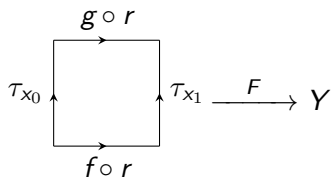
# Proof:

Let  $r: I \rightarrow X$  with  $r(t) = x_t$ . We only need to show that the following diagram is commutative at the level of path classes:

$$\begin{array}{ccccc}
 f(x_0) = & \Pi_1(f)(x_0) & \xrightarrow{f \circ r} & \Pi_1(f)(x_1) & = f(x_1) \\
 & \downarrow \tau_{x_0} & & \downarrow \tau_{x_1} & \\
 g(x_0) = & \Pi_1(g)(x_0) & \xrightarrow{g \circ r} & \Pi_1(g)(x_1) & = g(x_1)
 \end{array}$$



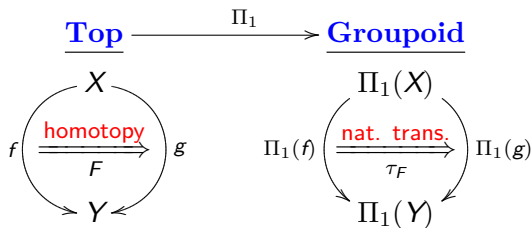
The composition  $F \circ (r \times I)$  gives the following diagram:



which implies that  $[g \circ r] \star [\tau_{x_0}] = [\tau_{x_1}] \star [f \circ r]$  as required. □



This proposition can be pictured by the following diagram





The following theorem is a formal consequence of the above proposition

## Theorem

Let  $f: X \rightarrow Y$  be a homotopy equivalence. Then

$$\Pi_1(f) : \Pi_1(X) \rightarrow \Pi_1(Y)$$

is an equivalence of categories. In particular, it induces a group isomorphism

$$\pi_1(X, x_0) \simeq \pi_1(Y, f(x_0)),$$





### Proof.

Let  $g: Y \rightarrow X$  represent the inverse of  $f$  in **hTop**. Applying  $\Pi_1$  to  $f \circ g \simeq 1_Y$  and  $g \circ f \simeq 1_X$ , we find  $\Pi_1(f) \circ \Pi_1(g)$  and  $\Pi_1(g) \circ \Pi_1(f)$  are natural isomorphic to identity functors. Thus the first statement follows.

The second statement follows from the fact that equivalence functors are fully faithful. □



## Proposition

Let  $X, Y \in \underline{\text{Top}}$ . Then we have an isomorphism of categories

$$\Pi_1(X \times Y) \cong \Pi_1(X) \times \Pi_1(Y).$$

In particular, for any  $x_0 \in X, y_0 \in Y$ , we have a group isomorphism

$$\pi_1(X \times Y, x_0 \times y_0) \simeq \pi_1(X, x_0) \times \pi_1(Y, y_0).$$



## Example

For a point  $X = \text{pt}$ ,  $\pi_1(\text{pt}) = 0$  is trivial. It is not hard to see that  $\mathbb{R}^n$  is homotopy equivalent to a point. It follows that

$$\pi_1(\mathbb{R}^n) = 0 \quad n \geq 0.$$



## Example

As we will see,

$$\pi_1(S^1) = \mathbb{Z}, \quad \text{and} \quad \pi_1(S^n) = 0, \forall n > 1.$$

Let  $T^n = (S^1)^n$  be the  $n$ -dim torus. Then

$$\pi_1(T^n) = \mathbb{Z}^n.$$



## Example (Braid groups)

Artin's **braid group**  $\text{Br}_n$  of  $n$  strings has the finite presentation:

$$\text{Br}_n = \langle b_1, \dots, b_{n-1} \mid \begin{array}{ll} b_i b_j b_i = b_j b_i b_j & \forall |j - i| = 1, \\ b_j b_i = b_i b_j & \forall |j - i| > 1 \end{array} \rangle.$$

Braid groups can be realized as fundamental groups.



The  $n^{\text{th}}$  (ordered) configuration space of  $X$  is

$$\text{Conf}_n(X) := \{\underline{x} = (x_1, \dots, x_n) \in X^n \mid x_i \neq x_j, \forall i \neq j\}.$$

It carries a natural action of the permutation group  $S_n$

$$\begin{aligned} S_n \times \text{Conf}_n(X) &\longrightarrow \text{Conf}_n(X) \\ (\sigma, \underline{x}) &\longmapsto \sigma(\underline{x}) = (x_{\sigma(1)}, x_{\sigma(2)}, \dots, x_{\sigma(n)}). \end{aligned}$$

The unordered configuration space of  $X$  is the orbit space :

$$\text{conf}_n(X) = \text{Conf}_n(X)/S_n.$$

A classical result says

$$\text{Br}_n \cong \pi_1(\text{conf}_n(\mathbb{R}^2)) \cong \pi_1(\text{conf}_n(D^2)).$$



Fix  $n$  distinct points  $Z_1, \dots, Z_n$  in  $\mathbb{R}^2$ . A geometric braid is an  $n$ -tuple  $\Psi = (\psi_1, \dots, \psi_n)$  of paths

$$\psi_i: [0, 1] \rightarrow \mathbb{R}^2$$

such that

- ▶  $\psi_i(0) = Z_i$ ;
- ▶  $\psi_i(1) = Z_{\nu(i)}$  for some permutation  $\nu$  of  $\{1, \dots, n\}$ ;
- ▶  $\{\psi_1(t), \dots, \psi_n(t)\}$  are distinct points in  $\mathbb{R}^2$ , for  $0 < t < 1$ .



The product of geometric braids follows the same way of products of paths (in the fundamental group setting). All braids on  $\mathbb{R}^2$  with the product above form the braid group.

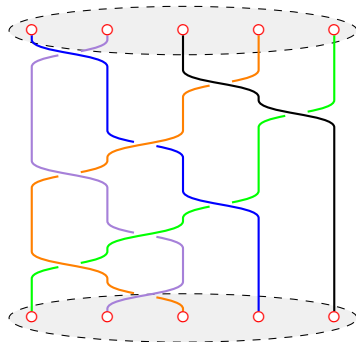


图: Classical braids